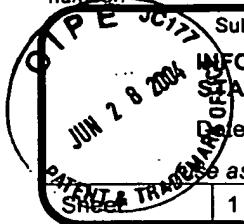


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Date Submitted: June 28, 2004

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## Complete if Known

Application Number	09/560,779
Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
<i>nm</i>	A1	6,363,164	B1	Jones et al.	03/26/2002	
<i>nm</i>	A2	5,760,916		Dellert et al.	06/02/1998	
<i>nm</i>	A3	4,321,672		Braun et al.	03/23/1982	
<i>nm</i>	A4	5,054,096		Beizer	10/01/1991	
<i>nm</i>	A5	5,673,333		Johnston	09/30/1997	
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Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

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Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
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## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A113	Cisco partners with AT&T CMS on network switch manufacturing, Lucent Technologies [On-line], Sept. 26, 1995 [Retrieved Dec. 2, 2002]. Retrieved from the Internet: <URL:http://www.lucent.com/press/0995/950926.mma.html	

Examiner Signature

Nga B. Nguyen

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Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

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Examiner Signature	<i>Nga B. Nguyen</i>	Date Considered	10/14/04
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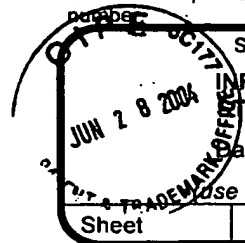
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Date Submitted: June 28, 2004

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Application Number	09/560,779
Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

Sheet 7 of 40

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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<i>nn</i>	A206	LUNT, Welcome to sfmb.com The paradigm just shifted, ABA Banking Journal, Dec. 1995, Section: Cover Story, p. 40, American Bankers Association	

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Signature*Nga Nguyen*Date  
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Application Number	09/560,779
Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

Sheet	14	of	40
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	A217	Payments, Clearance, and Settlement; A Guide to the Systems, Risks, and Issues, Report to the Chairman Committee on Banking and Financial Services House of Representatives (001656), June 1997, GAO/GGD97-73, United States General Accounting Office	
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	A223	Name of Attendees Offerors Conference, pps. 1-2, May 13, 1994.	
	A224	Capture and Processing Overview, 5 pages.	

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Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

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Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

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Application Number		09/560,779	
Filing Date		4/28/2000	
First Named Inventor		Danne L. Buchanan	
Group Art Unit		3628	
Examiner Name		Nga B. Nguyen	
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mn	A279	AT&T SIIPS Proof-of-Deposit Operations Guide, AT&T Confidential, D1-4619-A, Issue 1, March 1995.	
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	A281	AT&T SIIPS Proof-of-Deposit System Administration Guide.	
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	A285	MEDEIROS, DAVID W., "Introduction to Check and Document Imaging," presented at the BAI Image Technology in Banking Conference, Nashville, TN, October 18, 1995, pp. 1-93.	
	A286	IBM HPTS General Information Manual, Glossary, Numerics, Bibliography, and Index, 1990, pp. X1 to X15.	
mn	A287	IBM ImagePlus High Performance Transaction System, General Information Manual, 1990, pp. i-x, and 1-1 to 5-10 and A1 to A5.	

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mm	A296	"Electronic check presentment on the move," <i>ABA Banking Journal</i> , August 1995, pp. 53-56.	

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nm	A314	MEDEIROS, DAVID, "Statement with check images: update," <i>The Tower Group</i> , Category: Industry Case Study, check imaging, D100-400, edit history: 09/05/96.	

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an	A323	TAUBERT, C., "Workflow System Rids Branches of Paper," <i>Bank Systems Technology</i> , June 1996, p. 26.	

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mm	A332	GRIGSBY, MASON, "Document Management - Integrated Document Solutions: The Next Generation," <i>Managing Office Technology</i> , December 1996, vol. 41, no. 12, pp. 28-29.	

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	A334	S. MARJANOVIC, Mich. National streamlines imaging with IBM system, American Banker, Sept. 13, 1995, p. 1-2, Vol. 160, No. 176	
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Examiner Signature	<i>Nga B. Nguyen</i>	Date Considered	10/14/04
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Substitution for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: June 28, 2004 Use as many sheets as necessary		<b>Complete if Known</b>	
Application Number		09/560,779	
Filing Date		4/28/2000	
First Named Inventor		Danne L. Buchanan	
Group Art Unit		3628	
Examiner Name		Nga B. Nguyen	
Attorney Docket Number		057898-0102	
Sheet	29	of	40

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
nn	A342	Verifone software links PCs to the point of sale, DialogNews Document [On-line], Aug. 16, 1993 [Retrieved 11/25/2002], Vol. 158, No. 156, p. 13A(1), American Banker. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	
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		Filing Date	4/28/2000
		First Named Inventor	Danne L. Buchanan
		Group Art Unit	3628
		Examiner Name	Nga B. Nguyen
Sheet	30	of	40
		Attorney Docket Number	057898-0102

## NON PATENT LITERATURE DOCUMENTS

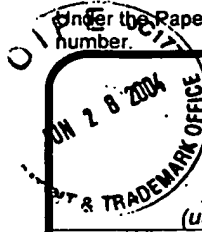
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
m	A351	Broadway & Seymour Announces Client/Server Product For Item And Image Processing, DialogNews Document [On-line], Mar. 20, 1995 [Retrieved 11/20/2002], p. 03201186, Business Wire. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	
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STATEMENT BY APPLICANT

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Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

Sheet 31 of 40

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<i>mm</i>	A360	Systematics to use deposited-check imaging; installation at firm's N.J. center would be the first by an outsourcer, DialogNews Document [On-line], May 19, 1993 [Retrieved 11/20/2002], Vol. 158, NO. 95, p. 3(1), American Banker. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	
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<i>mm</i>	A368	POD Check Imaging Faces New Challenges, DialogNews Document [On-line], Mar. 1997 [retrieved 10/24/2002], Vol. 10, No. 3, p. 23, Bank Technology News. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	

Examiner Signature

*Nga Nguyen*

Date Considered

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Date Submitted: June 28, 2004

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First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
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Sheet 32 of 40

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<i>mm</i>	A369	M. GARVEY, Check Processing Goes Digital - Chase Manhattan Bank to store checks electronically, saving time and money, DialogNews Document [On-line], Sept. 15, 1997 [Retrieved 10/24/2002], No. 648, p. 20, INFORMATIONWEEK. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	
	A370	Image Archive Forum, Payments System Task Force, 5 pages	
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	A376	Financial Services Technology Consortium (Ansi6v4(1).ppt), p. 1-27	
<i>mm</i>	A377	FSTC Interbank Check Image Project (no1016v4(1).ppt), p. 1-18	

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Considered*12/14/04*

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First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
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Sheet 33 of 40

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mm	A378	Current Check Flow (Gheck(1).ppt), p. 1-4	
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mm	A386	Banks to Test Imaging for Clearing Checks, DialogNews Document [On-line], Sept. 14, 1992 [Retrieved 11/20/2002], p. 35, CommunicationsWeek. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	

Examiner  
Signature

Nga Nguyen

Date  
Considered

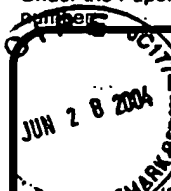
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mm	A387	NCR demonstrates full line of retail products at NRF conference, AT&T News Release [On-line], Jan. 18, 1993 [Retrieved 11/15/2002]. Retrieved from the Internet: <URL:http://www.att.com/news/0193/930118.ncd.html	
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mm	A395	New ATM from AT&T GIS features automated document processing, AT&T News Release [On-line], Nov. 29, 1994 [Retrieved 11/15/2002]. Retrieved from the Internet: <URL:http://www.att.com/news/1194/941129.ncb.html	

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Application Number	09/560,779
Filing Date	4/28/2000
First Named Inventor	Danne L. Buchanan
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

Sheet 35 of 40

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Sheet 37 of 40		Filing Date	4/28/2000
		First Named Inventor	Danne L. Buchanan
		Group Art Unit	3628
		Examiner Name	Nga B. Nguyen
		Attorney Docket Number	057898-0102

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Sheet 38 of 40

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<i>mm</i>	A423	NCR Helps To Speed Up Check Processing, DialogNews Document [On-line], May 2, 1997 [Retrieved 2/10/2003], p. N/A, Newsbytes News Network. Retrieved from the Internet: <URL:http://www.dialogselect.com/news/cgi	
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<i>mm</i>	A431	Financial Services Technology Consortium Interbank Check Imaging Project White Paper, June 20, 1994, p. 1-30	

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mm	A432	American National Standard For Financial Image Interchange: Architecture, Overview and System Design Specification (ANSI/ABA X9.xx-1994 Draft version 0.7), American National Standards Institute, Inc., Approved 1994, p. 1-202	
	A433	FSIC Check Image Exchange Project - Proposed Pilot Cash Letter Flow, Mar. 9, 1995, 5 Figures	
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	A435	Archive Storage and Retrieval Component Decomposition, FSTC Check Image Interchange Project, May 25, 1995, p. 1-20 with attachments	
	A436	R. BROWN, Preliminary Architecture and Project Plan, FSTC Image Interchange Project, Pilot Phase-1A, IBM Corporation, 6/30/1995, p. 1-34	
	A437	R. BROWN, ANSI X9.46 Data Structure Reference, IBM Corporation, July 31, 1995, p. 1-16	
	A438	Image Quality Functional Requirements, 7/26/1995, p. 1-19	
mm	A439	Program Product, Electronic Payment Systems Support/Check Processing Control System: Program Reference and Operations Manual, Program No. 5734-F11, IBM, Fax from Meinert Engineering, 8/8/2002, 7 pages	

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mm	A440	IBM 3898 Image Processor, Product Announcement, Mar. 13, 1990, p. 1-3 w/summary, IBM	
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